

a force applicator acting between said rake frame carrier structure and said rake frame support for applying at least a downward force to said plurality of rakes, said force applicator being responsive to an increase in said upwardly directed resultant force above a preset amount to allow said plurality of rakes to move upwardly in response to said increase;

A1

said rake frame support including at least one linkage member pivotally connected to said rake frame and to said rake frame carrier;

said force applicator including a fluid pressure responsive piston slidably received within a bore and connected to said linkage member to apply said downward force in response to fluid pressure within said bore;

each said rake including a downwardly depending spring secured at a frame end to said rake frame and a rake tip secured to said rake spring at a tip end of said rake spring distal said frame end; and,

wherein said frame end leads said tip when said rake is in an operative position.

#### REMARKS

The Examiner has rejected Claims 1-19 as being indefinite. In particular, the Examiner states that the limitation "said rake" in line 10 has insufficient antecedent basis. Applicant respectfully points out that Claim 1 refers to "said rakes" for which antecedent

basis is found in the expression "plurality of rakes." Applicant has nevertheless amended Claim 1 as suggested by the Examiner to recite "a plurality of rakes" in line 10.

The expression "rake from carrier" has been replaced with "rake frame carrier structure" to both correct a typographical error and to be consistent with the previously introduced structure.

Applicant acknowledges with thanks the Examiner's indication that Claims 2-19 would be allowable if rewritten to overcome the rejection under 35 U.S.C. 112 set forth in the office action and to include limitations of the base claim and the intervening claims. The above amendments so amend Claim 2 from which the remaining claims depend. Accordingly, with the above amendment, Claims 2-19 are allowable.

The Examiner states that Claim 1 is anticipated by Scott (U.S. 5,265,975). The Examiner makes reference to "intended use recitations in Claim 1" and states that the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations. The Examiner has not clarified what is meant by "intended use recitations" and it is not apparent to Applicant to what the Examiner is referring. Claim 1 does include functional limitations which must be satisfied by some of the structure; this, however, is different from "intended use recitations."

An important feature of the present invention is its "ride up" capability which enables the rakes to exert a substantially constant downwardly directed force rather than being locked in position, thereby enabling the rakes to respond to obstructions by riding up over those obstructions. In order to accomplish this, two things are required. Firstly, the rakes must be mounted in such a way to produce an upwardly directed resultant in

force in response to a horizontally directed force arising from encountering an obstruction in the path of travel of the raking device. This functional limitation is clearly set forth in Claim 1. In a preferred embodiment of the invention, this may be achieved by the manner in which the rakes are mounted to the frame using springs.

Scott would not be capable of producing such a resultant force. If the tips 3 of the teeth 2 in Scott were to encounter an obstruction, a horizontally directed force rather than a vertically directed force would be produced in cylinder 19-a. And no force would be produced in the cylinders 12a and 12b which the Examiner refers to as a force applicator.

The second feature of Applicant's invention which enables the ride up capability is the ability of the hydraulic system to respond to an upwardly directed force above a pre-set amount to enable the rakes to move upwardly once this force has been exceeded. In other words, once the rakes encounter an object, an upwardly directed force is produced which exceeds the force to which the rakes are ordinarily subject in order to scarify the underlying surface. The force applicator reacts to the increased force and rather than locking the rakes in place, allows the rakes to ride up.

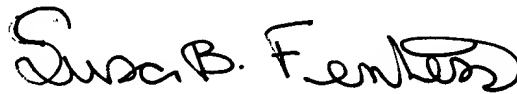
In at least the preferred embodiment of the invention, the force applicator includes fluid pressure responsive pistons slidably received within a bore and a fluid pressure supply system with a fluid pressure bleed passage through which a portion of the pressurized fluid is continually bled off at a flow rate determined by a pressure.

Scott simply fails to teach an apparatus which has a force applicator which responds to an increase in upwardly directed force. As Scott does not produce the upwardly directed force in the first place, there would be no reason for Scott to have such a system. There is nothing to suggest that Scott utilizes anything but a conventional

hydraulic system in which a valve blocks flow out of the hydraulic cylinder once a predetermined amount of extension is obtained. Such a system would in effect lock the rakes rather than allow for an upward movement.

For the reasons set out above, Applicant respectfully traverses the Examiner's rejection of Claim 1 when 35 U.S.C.(b) as being anticipated by Scott and requests that the Examiner reconsider and withdraw his rejection with a view toward allowing Claim 1. Applicant respectfully submits that the application as amended is in condition for allowance and action towards that goal is respectfully requested.

Respectfully Submitted,



Susan B. Fentress  
for the Applicant

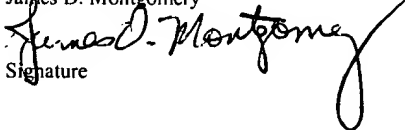
CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10

"Express Mail" mailing label number: EK71635442005

Date of Deposit: 11/9/2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner for Patents, Box Non-Fee Amendments, Washington, D.C., 20231.

James D. Montgomery

  
Signature

## APPENDIX A

### Marked Up Version of Amended Claims Pursuant to 37 C.F.R. §1.121c(I)(ii)

1. (amended) A raking device for breaking up a surface, said raking device comprising:

a plurality of rakes mounted on a rake frame and arranged to produce an upwardly directed resultant force in response to a horizontally directed force arising from encountering an obstruction path in a path of travel of said raking device;

a rake frame support mounting said rake frame to a rake frame carrier structure and allowing controlled movement of said rakes in a vertical direction;

a force applicator acting between said rake [from] frame carrier structure and said rake frame support for applying at least a downward force to said plurality of rakes, said force applicator being responsive to an increase in said upwardly directed resultant force above a preset amount to allow said plurality of rakes to move upwardly in response to said increase.

2. (amended) [A raking device as claimed in claim 1 wherein:]

A raking device for breaking up a surface, said raking device comprising:

a plurality of rakes mounted on a rake frame and arranged to produce an upwardly directed resultant force in response to a horizontally directed force arising from encountering an obstruction in a path of travel of said raking device;

a rake frame support mounting said rake frame to a rake frame carrier structure and allowing controlled movement of said rakes in a vertical direction;

a force applicator acting between said rake frame carrier structure and said rake frame support for applying at least a downward force to said plurality of rakes, said force applicator being responsive to an increase in said upwardly directed resultant force above a preset amount to allow said plurality of rakes to move upwardly in response to said increase[.];

said rake frame support [includes] including at least one linkage member pivotally connected to said rake frame and to said rake frame carrier;

said force applicator [includes] including a fluid pressure responsive piston slidably received within a bore and connected to said linkage member to apply said downward force in response to fluid pressure within said bore;

each said rake [includes] including a downwardly depending spring secured at a frame end of said rake frame and a rake tip secured to said rake spring at a tip end of said rake spring distal said frame end; and,

wherein said frame end leads said tip when said rake is in an operative position.

100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282 283 284 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299 300 301 302 303 304 305 306 307 308 309 310 311 312 313 314 315 316 317 318 319 320 321 322 323 324 325 326 327 328 329 330 331 332 333 334 335 336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 377 378 379 380 381 382 383 384 385 386 387 388 389 390 391 392 393 394 395 396 397 398 399 400 401 402 403 404 405 406 407 408 409 410 411 412 413 414 415 416 417 418 419 420 421 422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446 447 448 449 450 451 452 453 454 455 456 457 458 459 460 461 462 463 464 465 466 467 468 469 470 471 472 473 474 475 476 477 478 479 480 481 482 483 484 485 486 487 488 489 490 491 492 493 494 495 496 497 498 499 500 501 502 503 504 505 506 507 508 509 510 511 512 513 514 515 516 517 518 519 520 521 522 523 524 525 526 527 528 529 530 531 532 533 534 535 536 537 538 539 540 541 542 543 544 545 546 547 548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 567 568 569 570 571 572 573 574 575 576 577 578 579 580 581 582 583 584 585 586 587 588 589 590 591 592 593 594 595 596 597 598 599 600 601 602 603 604 605 606 607 608 609 610 611 612 613 614 615 616 617 618 619 620 621 622 623 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649 650 651 652 653 654 655 656 657 658 659 660 661 662 663 664 665 666 667 668 669 670 671 672 673 674 675 676 677 678 679 680 681 682 683 684 685 686 687 688 689 690 691 692 693 694 695 696 697 698 699 700 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725 726 727 728 729 730 731 732 733 734 735 736 737 738 739 740 741 742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782 783 784 785 786 787 788 789 790 791 792 793 794 795 796 797 798 799 800 801 802 803 804 805 806 807 808 809 810 811 812 813 814 815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 830 831 832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917 918 919 920 921 922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939 940 941 942 943 944 945 946 947 948 949 950 951 952 953 954 955 956 957 958 959 960 961 962 963 964 965 966 967 968 969 970 971 972 973 974 975 976 977 978 979 980 981 982 983 984 985 986 987 988 989 990 991 992 993 994 995 996 997 998 999 1000

X